

# Audit Analysis Principles and Techniques

**Course Number:** 5806

**Length:** 1 Day

**Primary Delivery Method:** Instructor-led online (synchronous)

**Alternative Delivery Methods:** Instructor-led live classroom

## Course Description

Learn to think strategically and use analytical skills throughout the audit lifecycle. Using the *Yellow Book* and other auditing standards and resources as professional frameworks, you'll explore how to move beyond performing individual audit tasks to effectively assess the organization and its processes and functions to identify potential risks, internal controls, gaps, and corrective actions to contribute to an improved operation going forward.

## Intended Audience

This course is designed for those with financial management responsibilities within the public sector, including, but not limited to, auditors, accountants, budgetary personnel, contracting officers, program managers, government purchase card holders, approving managers, and legal counsel.

## Client-Provided Facility Requirements

- Internet required for instructor computer

## Course Learning Objectives

- Explain how analytics supports government auditing standards and directives
- Apply effective analytical techniques to develop an informed understanding of the organization and operations during the audit process
- Assess the status of management corrective actions and responses to audits to determine if these may result in sustainable improvement
- Develop an informed understanding of the organization and operations during the audit process using analytical techniques

## Course Schedule

| DAY ONE |                                                                              |
|---------|------------------------------------------------------------------------------|
| MORNING | Lesson 1: Foundation for Audit Analysis in the Public Sector                 |
|         | Lesson 2: Using Effective Analytical Techniques Throughout the Audit Process |
| LUNCH   |                                                                              |

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|           |                                                                                         |
|-----------|-----------------------------------------------------------------------------------------|
| AFTERNOON | Lesson 2: Using Effective Analytical Techniques Throughout the Audit Process, continued |
|           | Lesson 3: The Post-Audit Process                                                        |
|           | Lesson 4: Capstone Exercise                                                             |

## Learning Methods

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Individual, small-group, and large-group exercises; lecture, discussion, capstone exercise

## Credits

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### National Association of State Boards of Accountancy (NASBA)

- Field of Study: Auditing (Governmental)
- Level: Intermediate
- CPEs: 8

### Professional Development Units (PDUs)

- Credits: 7

### Continuous Learning Points (CLPs)

- Credits: 8

## Prerequisites

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### Suggested

- 5882

## Pework

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There is no prework required for this course.

## Requirements for Successful Completion

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Full (100%) attendance is expected and required. Successful completion of the course depends on full class attendance and active participation in individual and group exercises.

# Audit Analysis Principles and Techniques

## Ready to Enroll?



See the most recent course information and scheduled classes at this link:  
<https://www.managementconcepts.com/course/id/5806>

